## TILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

Docket No. MXIC-P910312

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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### TO THE COMMISSIONER FOR PATENTS

| 0                                  | Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450                            |                      |  |  |  |  |  |  |
|------------------------------------|---|----------------------|--|--|--|--|--|--|
| Transmitted her invention entitle  | rewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent applied: | cation for an        |  |  |  |  |  |  |
| TUNNELING                          | G DIODE MAGNETIC JUNCTION MEMORY  | 10 U.S.P<br>5/80233; |  |  |  |  |  |  |
| and invented by                    |   |                      |  |  |  |  |  |  |
| HSIANG-LA<br>RUICHEN L             |   |                      |  |  |  |  |  |  |
| If a CONTINU                       | ATION APPLICATION, check appropriate box and supply the requisite information:                  |                      |  |  |  |  |  |  |
| ☐ Continua                         | tion Divisional Continuation-in-part (CIP) of prior application No.:                            |                      |  |  |  |  |  |  |
| Which is a:  Continua  Which is a: | tion Divisional Continuation-in-part (CIP) of prior application No.:                            |                      |  |  |  |  |  |  |
| ☐ Continua                         | tion Divisional Continuation-in-part (CIP) of prior application No.:                            |                      |  |  |  |  |  |  |
| Enclosed are:                      | Application Elements  |                      |  |  |  |  |  |  |
| 1. 🛭 Filii                         | ng fee as calculated and transmitted as described below   |                      |  |  |  |  |  |  |
| 2. ⊠ Spe                           | ecification having 17 pages and including the following:  |                      |  |  |  |  |  |  |
| a. ⊠                               | Descriptive Title of the Invention  |                      |  |  |  |  |  |  |
| b. 🗖                               | b. Cross References to Related Applications (if applicable)                                     |                      |  |  |  |  |  |  |
| c. 🗆                               | c.  Statement Regarding Federally-sponsored Research/Development (if applicable)                |                      |  |  |  |  |  |  |
| d. 🗆                               | Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix                  |                      |  |  |  |  |  |  |
| e. ⊠                               | Background of the Invention   |                      |  |  |  |  |  |  |
| f. ⊠                               | Brief Summary of the Invention  |                      |  |  |  |  |  |  |
| g. 🗵                               | Brief Description of the Drawings (if filed)  |                      |  |  |  |  |  |  |
| h. ⊠                               | Detailed Description  |                      |  |  |  |  |  |  |
| i. 🗵                               | Claim(s) as Classified Below  |                      |  |  |  |  |  |  |
| j. 🗵                               | Abstract of the Disclosure  |                      |  |  |  |  |  |  |

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|   | b.                               |             | Informa                 | ıl                     | Number o               |                          |                  |                        |   |
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|   | b.                               |             |                         |                        |                        |                          | d)) (for         | Continuation (division | onal application only)  |
|   | C.                               | $\boxtimes$ | With Po                 | wer of At              | torney 🔲               | Without Po               | wer of           | Attorney               | onal application only)  |
|   | d.                               |             | Signed s<br>see 37 C    | statemen<br>C.F.R. 1.6 | (·/(=/ =/ a./a //      | eting inventor<br>33(b). | (s) nan          | ned in the prior ap    | oplication,   |
| 5.  |                                  |             | rporation<br>entire dis | By Refer               | rence <i>(usable i</i> | if Box 4b is co          |                  |                        | th or declaration is supplied under<br>anying application and is hereby |
| 6.  |                                  | CD F        | ROM or C                | D-R in d               | uplicate, large        | table or Com             | puter F          | Program (Append        | liu\  |
|   | ч.                               | ~ppii       | cation Da               | ita Sheet              | (See 37 CFR            | 1.76)                    |                  |                        |   |
| 8.  |                                  | Nucle       | otide and               | d/or Amir              | no Acid Sequei         | nce Submiss              | ion <i>(if a</i> | applicable, all mu     | st he included)   |
| <ul><li>8.  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)</li><li>a.  Computer Readable Form (CRF)</li></ul> |                                  |             |                         |                        |                        |                          |                  |                        |   |
|   | b. [                             | ] (         |                         |                        | ence Listing o         |                          |                  |                        |   |
|   | i. CD-ROM or CD-R (2 copies); or |             |                         |                        |                        |                          |                  |                        |   |
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|   | с. [                             | ב ב         | statement               | (s) Verify             | /ing Identical F       | aper and Co              | mpute            | Readable Copy          |   |
| Accompanying Application Parts  |                                  |             |                         |                        |                        |                          |                  |                        |   |
|   | <b>⊠</b> A                       | ssigr       | ment Pa                 | pers (co               | ver sheet & do         | cument(s))               |                  |                        |   |
| 10.   37 CFR 3.73(B) Statement (when there is an assignee)  |                                  |             |                         |                        |                        |                          |                  |                        |   |
| 11.   |                                  |             |                         |                        |                        |                          |                  |                        |   |
| 12. Information Disclosure Statement/PTO-1449   |                                  |             |                         |                        |                        |                          |                  |                        |   |
| 13. Li Preliminary Amendment  |                                  |             |                         |                        |                        |                          |                  |                        |   |
| 4.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  |                                  |             |                         |                        |                        |                          |                  |                        |   |
| Certified Copy of Priority Document(s) (if foreign priority is claimed)   |                                  |             |                         |                        |                        |                          |                  |                        |   |
| 16. 🗵   | ı Çe                             | ertific     | ate of Ma               | iling                  |                        |                          |                  |                        |   |
|   |                                  | Fir         | st Class                | ⊠ E                    | xpress Mail (S         | pecify Label             | No.):            | EL984828456US          |   |
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#### **Accompanying Application Parts (Continued)**

| 17. | Additional Enclosures (please identify below):   |
|-----|--|
|     |  |
|     |  |
|     |  |
|     | Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)  |
| 18. | Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.  |
|     | Warning  |
|     | An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional. |
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#### **Fee Calculation and Transmittal**

#### **CLAIMS AS FILED** For #Filed #Allowed #Extra Rate Fee **Total Claims** 22 - 20 = 2 х \$18.00 \$36.00 Indep. Claims 4 - 3 = 1 X \$86.00 \$86.00 Multiple Dependent Claims (check if applicable) \$0.00 **BASIC FEE** \$740.00 OTHER FEE (specify purpose) \$0.00 TOTAL FILING FEE \$862.00 ☐ A check in the amount of to cover the filing fee is enclosed. M The Director is hereby authorized to charge and credit Deposit Account No. 50-1600 as described below. Charge the amount of \$776.00 as filing fee. Credit any overpayment. □ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17. ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b). Signature Dated: March 17, 2004 Kenton R. Mullins Stout, Uxa, Buyan & Mullins, LLP

cc:

4 Venture, Suite 300 Irvine, CA 92618

Docket: MXIC-P910312

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re a | pplication:              | 1 |                        |  |  |
|---------|--------------------------|---|------------------------|--|--|
|         | Lung et al.              | 1 | BOX PATENT APPLICATION |  |  |
|         |                          | / |                        |  |  |
| Filed:  | Herewith                 | / |                        |  |  |
|         |                          | / |                        |  |  |
| For:    | TUNNELING DIODE MAGNETIC | / |                        |  |  |
|         | JUNCTION MEMORY          | 1 |                        |  |  |
|         |                          | / |                        |  |  |

EXPRESS MAIL MAILING LABEL NO. EL984828456US

Date of Deposit: March 17, 2004

14.

I hereby certify that the following documents as identified below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under CFR 1.10 on the date indicated above and are addressed to: Box: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

- Return Receipt postcard; 1.
- Transmittal letter; 2.
- 3. Specification/Claims/Abstract 17 pages;
- 10 sheets of formal drawings; 4.
- 5. Recordation Sheet and Assignment;
- Declaration for Patent Application, 6.

Each of the 6 above-identified documents are enclosed herewith.

Respectfully submitted,

Kenton R. Mullins Registration No. 36,331

Irvine, CA 92618 Telephone 949/ 450-1750

4 Venture, Suite 300

Facsimile 949/450-1764